

#9

**EXPRESS MAIL NO.: EL 501 636 636 US**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of: Busfield et al.

Application No.: 09/503,387

Filed: February 14, 2000

For: GLYCOPROTEIN VI AND USES  
THEREOF

Group Art Unit: 1656

Examiner: Strzelecka, T.

Attorney Docket No.: 7853-178



**SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.56 AND §1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56 and § 1.97 to inform the Patent Office of all references coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of any claim of the application, Attorneys for Applicants hereby invite the Examiner's attention to the references CU-DK listed on the attached revised form PTO 1449 entitled "List of References Cited by Applicant." Copies of references CU-DK are submitted herewith.

Identification of the listed references is not meant to be construed as an admission of Applicants or Attorneys for Applicants that such references are available as "prior art" against the subject application. Consequently, Applicants respectfully decline to use form PTO-1449, since this form identifies all of the references cited therein as "Prior Art." As an alternative, Applicants submit herewith several pages of a "revised form PTO 1449" entitled "List of References Cited" instead of "List of Prior Art Cited".

Applicants respectfully request that the Examiner review the foregoing references and that the references be made of record in the file history of the application.

Pursuant to 37 C.F.R. § 1.97(b)(3), because this Information Disclosure Statement is being submitted prior to the first Office Action on merits, no fee is believed due.

NY2 - 1162397.1

**BEST AVAILABLE COPY**

However, should the Patent and Trademark Office determine otherwise, please charge any required fee to Pennie & Edmonds Deposit Account No. 16-1150. A duplicate of this sheet is enclosed for accounting purposes.

Respectfully submitted,

By: *Jennifer J. Chheda*  
Reg No. 46,617

Date January 24, 2001

*Laura A. Coruzzi* 30,742  
Laura A. Coruzzi (Reg. No.)

PENNIE & EDMONDS LLP  
1155 Avenue of the Americas  
New York, New York 10036-2711  
(212) 790-9090

Enclosures